

# State Opioid and Stimulant Response Grant (SOS) 3.0 to 4.0 Transition Guidance

The following guidance is provided to help grantees navigate the transition from State Opioid and Stimulant Response (SOS) 3.0 to SOS 4.0 funding. Please use the following scenarios to identify your organizations context and plan accordingly. Reach out to [SOSEval@osumc.edu](mailto:SOSEval@osumc.edu) if there are questions about data collection after review.

## **A. The SOS 3.0 grant ended (the grantee does not have a No Cost Extension (NCE)), and the grantee will not transition SOS 3.0 clients to the SOS 4.0 grant.**

Grantees who do not have an SOS 3.0 NCE and will not transition clients to SOS 4.0 should follow standard GPRA data collection requirements. Grantees must submit a discharge record for each SOS 3.0-funded client.

## **B. The SOS 3.0 grantee has an NCE and will continue to serve clients using SOS 3.0 funds. The grantee will not transition clients to the SOS 4.0 grant at the end of the NCE.**

Grantees should continue standard GPRA data collection for SOS 3.0, including follow-up GPRA interviews. Grantees must submit a six-month follow-up record for SOS 3.0 clients who have a follow-up due during their NCE.

1. If client's six-month follow-up window **CLOSES** during the NCE:
  - a. Conduct the follow-up interview as normal. If it is not possible to contact the client to conduct the follow-up interview, submit an administrative follow-up record.
2. If the six-month follow-up window opens **BEFORE** (September 29, 2025) the end of the NCE, submit an administrative follow-up record within the follow-up window.

Grantees must submit a discharge record for each SOS 3.0 client who is no longer receiving SOS services as required by SAMHSA, and each client must be discharged by the end of the SOS 3.0 NCE period. If it is not possible to do the discharge interview with the client, submit an administrative discharge record. See SOS 3.0 Discharge Guidance for more information.

**C. Clients served under SOS 3.0 grant will continue to receive services under the SOS 4.0 grant.**

How clients are transitioned depends on where they are in the interview process:

1. If a six-month follow-up interview under the SOS 3.0 grant is conducted or the window has closed **before** Sept 30, 2024 (start of the SOS 4.0 grant), and the clients will be transitioned to SOS 4.0, then grantees must complete the following steps:
  - a. Discharge client under SOS 3.0:
    - i. If follow-up interview was completed: Complete administrative discharge from SOS 3.0 using the status of "Terminated," and reason of "Referred to another program or other services with satisfactory progress."
    - ii. If follow-up interview was not completed: Complete administrative discharge.
  - b. Complete a new SOS 4.0 intake GPRA (including consent and locator form) at the first SOS 4.0-funded client visit.
2. If a six-month follow-up interview window is **open under the SOS 3.0 grant or has not opened yet** (on or after 9/30/24), and the client will receive services under the SOS 4.0 grant, then grantees will enter the same interview for client SOS 3.0 follow-up and discharge *and* SOS 4.0 intake using the following steps:
  - a. Conduct interview:
    - i. Conduct the SOS 3.0 six-month follow-up using the **entire** GPRA tool (including demographics and Sections I, J, and K)
    - ii. Enter six-month follow-up data under the SOS 3.0 grant into iPortal
    - iii. Enter the same follow-up interview as the client intake interview for SOS 4.0
  - b. Conduct an administrative discharge for the client under the SOS 3.0 grant with a status of "Terminated," and reason of "Referred to another program or other services with satisfactory progress."